

SEP 20 2001
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Form PTO-1449 (Modified) Page 1 of 1 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Atty. Docket No.: 101.003		Serial No.: 09/769,577	
				Applicant: Johnston, et al.			
				Filing Date: January 25, 2001		Group: 1764	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AJ						
	AK						
	AL						
	AM						

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
✓	AN	GB 2 333 351	7/21/1999	Great Britain			X	
✓	AO	WO 97 21064	6/12/1997	PCT			X	
✓	AP	DE 43 13 723	11/3/1994	Germany				X
✓	AQ	GB 671 573	5/7/1952	Great Britain			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
✓	AR	D. Reay, "Learning from Experiences with Compact Heat Exchangers", Doc. No. XP-002167972, CADDET Analyses Series No. 25, pgs. 32-42, 85-86, 98, 141-142, and XI-XII (6/1999)

EXAMINER <i>Leu</i>	DATE CONSIDERED <i>5/4/04</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
U	AA	4,075,092	2/21/1978	White et al.			
	AB	4,101,281	7/18/1978	Pagani			
	AC	4,153,855	5/8/1979	Feingold			
	AD	4,190,079	2/26/1980	White et al.			
	AE	4,231,979	11/4/1980	White et al.			
	AF	4,714,592	12/22/1987	Zanma et al.			
	AG	5,180,480	1/19/1993	Manz			
	AH	5,494,641	2/27/1996	Krstanovic			
	AJ	5,540,899	7/30/1996	Koves			
	AK	5,585,074	12/17/1996	Zardi et al.			
	AL	5,727,618	3/17/1998	Mundinger et al.			
	AM	5,744,100	4/28/1998	Krstanovic			
U	AN	5,804,701	9/8/1998	Berger			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
U	AO	0 386 692	6/3/1990	Europe			X	
	AP	0 386 693	3/6/1990	Europe			X	
	AQ	0 539 834	10/19/1992	Europe			X	
	AR	WO 95/01834	1/19/1995	PCT			X	
	AS	WO 96/12316	4/25/1996	PCT			X	
	AT	WO 96/39260	12/12/1996	PCT			X	
	AU	WO 96/42004	12/27/1996	PCT			X	
U	AV	WO 98.32535	7/30/1998	PCT			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AW	
EXAMINER	<i>[Signature]</i>	
	DATE CONSIDERED	5/4/04

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